

10-81-01

A

1c978 U.S. PTO
09/28/01

Express Mail Label No. 831678187 US
Date of Deposit: September 28, 2001

Attorney Docket No. 23908-501

1c972 U.S. PTO
09/966847
09/28/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FIRST-NAMED INVENTOR OR Rina Goldshtein
APPLICATION IDENTIFIER:

FOR: HYDROPHILIC COMPLEXES OF LIPOPHILIC MATERIALS AND AN
APPARATUS AND METHOD FOR THEIR PRODUCTION

September 28, 2001
Boston, Massachusetts

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR FILING A NEW NONPROVISIONAL APPLICATION
UNDER 37 C.F.R. §1.53(b)

1. This is a request for filing a new nonprovisional application under 37 C.F.R. §1.53(b).
2. ☒ Specification and Drawings (Total pages: 31);
Specification (20 pages); Claims (9 pages); Abstract (1 page); and
Drawings: 1 sheet; FIG. 1.
☐ Formal
☒ Informal
3. ☒ Declaration and Power of Attorney
☒ Unsigned
☐ Signed
4. ☐ Information Disclosure Statement (IDS)
☐ Copy of IDS and PTO-1449 (___ pages)
☐ Copies of references cited
5. ☐ Assignment Papers
☐ Recordation Form Cover Sheet (PTO-1595)
☐ Assignment Document
6. ☒ Small Entity Status
☐ Independent Inventor (37 C.F.R. §§1.9(f) & 1.27(b)).
☒ Small Business Concern (37 C.F.R. §§1.9(f) & 1.27(c)).
☐ Nonprofit Organization (37 C.F.R. §§1.9(f) & 1.27(d)).

09966847-092801

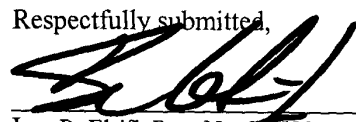
FIRST-NAMED INVENTOR: Rina Goldshtein
APPLICATION IDENTIFIER:
Request for New Nonprovisional Application (37 C.F.R. §1.53(b))

7. Fee Calculation

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 C.F.R. 1.16(a) \$710.00
Total Claims (37 C.F.R. 1.16(c))	49	- 20 =	29	\$ 18.00	\$522.00
Independent Claims (37 C.F.R. 1.16(b))	5	- 3 =	2	\$80.00	\$160.00
Multiple Dependent Claim(s), if any (37 C.F.R. 1.16(d))				\$270.00	0
				SUBTOTAL:	\$1,392.00
				Reduction by 50% for filing by small entity:	- \$696.00
				TOTAL FEE:	\$696.00

8. ☒ A check in the amount of **\$696.00** is enclosed.
9. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 50-0311, Ref. No. 23908-501:
- ☒ Fees required under 37 C.F.R. §1.16;
- ☒ Fees required under 37 C.F.R. §1.17;
- ☒ Fees required under 37 C.F.R. §1.18.
10. ☒ Return Receipt Postcard Enclosed.
11. ☐ Other Documents Enclosed:
- ☐ Change of Attorney Address In Application.
- ☐ Limited Recognition under 37 C.F. § 10.9(b) for Michel Morency.

Respectfully submitted,


Ivor R. Elrifi, Reg. No. 59,529
Barry J. Marenberg, Reg. No. 40,715
Attorney(s) for Applicants
MINTZ, LEVIN, COHN, FERRIS,
GLOVSKY and POPEO, P.C.
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241

Dated: September 28, 2001

TRA 1571190v1